Complete if Known 09/752,685

PTO/SB/17 (11-01)
Approved for use through 10/31/2002. OMB 0651-0032
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**Application Number** 

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FE	E	TR	AN	SM	IIT	TAL
	f	or	FY	20	02	

7 for FY 2002		Filing Date			January 3, 2001
Patent fees are subject to annual revision.		First Named Inventor			ntor Shane J. Trapp グ
- Acon rees are subject to annual revision.	-	Exam	iner N	lame	Thanhha S. Pham
Applicant claims small entity status. See 37 CFR 1.27		Grou	Art l	Jnit	Thanhha S. Pham  2813  M4065 0369/P369
TOTAL AMOUNT OF PAYMENT (\$) 110.00		Attorr	ney Do	ocket No	o. M4065.0369/P369
METHOD OF PAYMENT (check all that apply)			-	FEE	CALCULATION (continued)
Check X Credit Money Other None  _X Deposit Account	3. /	ADDITI	ONAL	_ FEES	
Deposit Account Number	Larg Fee Code	e Entity Fee (\$)	Sma Fee Code	II Entity Fee (\$)	Fee Description Fee Paid
Deposit Dickstein Shapiro Morin &	105	130	205	65	Surcharge – late filing fee or oath
Account Name Oshinsky LLP The Commissioner is hereby authorized to: (check all that apply)	127	50	227	25	Surcharge – late provisional filing fee or cover sheet.
X Charge fee(s) indicated below X Credit any overpayments	139	130	139	130	Non-English specification
Charge any additional fee(s) during the pendency of this	147	2,520	147	2,520	For filing a request for ex parte reexamination
application  Charge fee(s) indicated below, except for the filing fee	112	920*	112	920*	Requesting publication of SIR prior to Examiner action
to the above-identified deposit account.	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
FEE CALCULATION	115	110	215	55	Extension for reply within first month 110,00
1. BASIC FILING FEE	116	400	216	200	Extension for reply within second month
Large Entity Small Entity	117	920	217	460	Extension for reply within third month
Fee Fee Fee Fee Code (\$) Fee Description Fee Paid	118	1,440	218	720	Extension for reply within fourth month
101 740 201 370 Utility filing fee	128	1,960	228	980	Extension for reply within fifth month
106 330 206 165 Design filing fee	119	320	219	160	Notice of Appeal
107 510 207 255 Plant filing fee	120	320	220	160	Filing a brief in support of an appeal
108 740 208 370 Reissue filing fee	121	280	221	140	Request for oral hearing
114 160 214 80 Provisional filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding
SUBTOTAL (1) (\$)	140	110	240	55	Petition to revive – unavoidable
(,,	141	1,280	241	640	Petition to revive - unintentional
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	142	1,280	242	640	Utility issue fee (or reissue)
Extra Fee from Fee Paid Claims below	143	460	243	230	Design issue fee
Total Claims   -20** =   x   = 0.00	144	620	244	310	Plant issue fee
Independent Claims -3** = X = 0.00	122	130	122	130	Petitions to the Commissioner
Multiple Dependent	123	50	123	50	Processing fee under 37 CFR 1.17(q)
Large Entity Small Entity	126	180	126	180	Submission of Information Disclosure Stmt
Fee Fee Code (\$) Fee Description	581	40	581	40	Recording each patent assignment per property (times number of properties)
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3	146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))
102 84 202 .42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim, if not paid	149	740	249	370	For each additional invention to be examined (37CFR 1.129(b))
109 84 209 .42 ** Reissue independent claims	179	740	279	370	Request for Continued Examination (RCE)
over original patent 110 18 210 9 ** Reissue claims in excess of 20	169	900	169	900	Request for expedited examination of a design application
and over original patent	Other	fee (spe	cify)		
SUBTOTAL (2) (\$)	*Red	uced by I	Basic F	iling Fee	Paid SUBTOTAL (3) (\$) 110.00

SUBMITTED BY	ED BY				Complete (if applicable)		
Name (Print/Type)	Thomas J. D'Amico		Registration No. (Attorney/Agent)	28,371	Telephone	(202) 828-2232	
Signature		M2 <	<u> </u>	-	Date	October 7, 2002	

\*\*or number previously paid, if greater; For Reissues, see above







## AMENDMENT TRANSMITTAL LETTER

Docket No. M4065.0369/P369

Application No.	Filing Date	Examiner	Art Uni
09/752,685-Conf. #9753	January 3, 2001	T. Pham	2813

Applicant(s): Shane J. Trapp

Invention: METHOD AND COMPOSITION FOR PLASMA ETCHING OF A SELF-ALIGNED CONTACT

## TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

		CLAIM	S AS AMENI	DED		
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate		
Total Claims	43	- 70 =		X		0.00
Independent Claims	3	- 6 =		X		0.00
Multiple Depend	lent Claims (ch	eck if applicabl	le)			
Other fee (pleas	e specify):					
TOTAL ADDIT	IONAL FEE FO	R THIS AME	NDMENT:			
x Large Entity				Small Enti	ty	
No additiona	al fee is require	d for this ame	ndment.			
· · · · · · · · · · · · · · · · · · ·	ge Deposit Acc			n the amount of \$	-	• •
X A check in the	ne amount of \$		to cover	the filing fee is er	nclosed.	
	ssioner is hereld below. A dup	•	-	credit Deposit Adenciosed.	ccount No	04-1073
x Credit a	ny overpaymer	nt.				
x Charge	any additional fil	ng or application	on processing	fees required unde	er 37 CFR 1.16	3 and 1.17.
To	200			Dated:	October 7	, 2002
Thomas J. D'Ar Attorney Reg. N						

DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP

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Washington, DC 20037-1526

(202) 828-2232

1514876 v1; WGVW01!.DOC



Docket No.: M4065.0369/P369

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Shane J. Trapp

Application No.: 09/752,685

Filed: January 3, 2001

For: METHOD AND COMPOSITION FOR

PLASMA ETCHING OF A SELF-ALIGNED

**CONTACT OPENING** 

## REQUEST FOR APPROVAL OF PROPOSED DRAWING AMENDMENTS

Commissioner for Patents Washington, DC 20231

Dear Sir:

Applicant proposes the changes shown in red ink on the enclosed photocopy of Figures 1 and 2. Specifically, each figure now includes the label "Prior Art." The Examiner's approval is solicited.

Dated: October 7, 2002

Respectfully symmitted,

Thomas J. D'Amico

Registration No.: 28,371

DICKSTEIN SHAPIRO MORIN &

Group Art Unit: 2813

Examiner: Thanhha S. Pham

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